PREMIUM GAMING SERVICES (PGS) PRESENTS

2011 2-DAY SEMINAR OFFERING - OCTOBER 10th and 11th

DAY 1 - TITLE 31 & SUSPICIOUS ACTIVITY REPORTING

DAY 2 - INTERNAL AND EXTERNAL CASINO AUDITING
MINIMUM INTERNAL CONTROL STANDARDS UPDATE
FRAUD DETECTION & PREVENTION IN CASINOS
MARKETING AND NON GAMING FRAUD

WHERE: HARD ROCK HOTEL & CASINO IN TULSA, OK
WHEN: Day 1—October 10, 2011 - Day 2—October 11, 2011

- Seminar check-in from 8:00 am to 8:50 am (both days)
- Seminar from 9:00 am to 4:30 pm (both days)
- Recommended 7 hours CPE (each day)
- Class manual includes:
  Day 1- Title 31/SARC risk assessment matrix, internal control plan, sample exams, case studies & audit/compliance documentation
- Day 2 - Sample audit programs, case studies, departmental MICS, fraud control checklist, fraud prevention checklist

OFFICIAL CERTIFICATE OF ATTENDANCE PROVIDED

WHO SHOULD ATTEND THESE COURSES?

* Auditors * Compliance Officers * Gaming Commission Members
* Casino Mgmt * Cage Supervisors/Mgrs * CFO/Controllers
* State Regulators * State Attorneys * CPA Firm Staff

SEE ATTACHED AGENDA AND REGISTRATION FORM
SPACE IS LIMITED SO MAKE YOUR RESERVATION EARLY!
CHECK OUT OUR 'EARLY BIRD' AND GROUP DISCOUNTS

(WE RESERVE THE RIGHT TO CANCEL IF ATTENDANCE IS BELOW 20 ATTENDEES)

SEMINAR AGENDA—DAY 1– OCTOBER 10th

TITLE 31 AND SUSPICIOUS ACTIVITY REPORTING
PRESENTED BY: LORI DOYLE, PREMIUM GAMING SERVICES

- **Overview of Title 31 and Suspicious Activity Reporting**
- **Statistical analysis of CTR and SAR filings**
- **Major requirements of Title 31 & Suspicious Activity Reporting**
- **Recent changes to the Regulation and recent enforcement actions**
- **Internal Audit and Gaming Commission Responsibilities**
- **Completing CTR and SAR Reports**
- **Customer identification and known patron requirements**
- **'Red Flags' for possible reportable Suspicious Transactions**
- **Procedures for investigating 'unknown' customers**
- **How To conduct the mandatory Risk Assessment**
- **Casino vulnerabilities**
- **Title 31/SARC Risk Assessment Matrix**
- **What does the IRS audit and is your casino ready for an IRS audit?**
- **Controversial issues**

- **Do you need an in-depth refresher course on Title 31/SARC money laundering regulatory issues and requirements?**

- **Are you familiar with the recent focus of IRS audits of Title 31 and Suspicious Activity Reporting?**

- **Has your casino conducted a thorough Risk Assessment?**

- **Is your casino's compliance program adequate to meet IRS scrutiny?**

IF YOU ANSWERED 'NO' TO ANY OF THE ABOVE, YOU NEED TO ATTEND THIS SEMINAR.

SEMINAR AGENDA– DAY 2 –OCTOBER 11th

SESSION 1 - INTERNAL AND EXTERNAL AUDITING IN CASINOS -

- **Compare/contrast objectives of the Internal vs. External Audits**
- **Risk Assessment - review and application in the audit process**
- **Relevant components of the AICPA Audit Guide for Casinos**
- **Internal and External Audit Coordination**
- **Determining the size and scope of the Internal Audit Dept**
- **How to Conduct an Effective Audit Interview**
- **Writing an Effective Audit Report**
- **Application of Technology in the Audit**
- **Ensuring a Quality Audit**

SESSION 2 - MINIMUM INTERNAL CONTROL STANDARDS (MICS) UPDATE -

- **Slot MICS Review**
- **Table Games MICS Review**
- **Cage MICS Review**
- **Accounting MICS Review**

SESSION 3 - FRAUD PREVENTION AND DETECTION IN CASINOS PLUS MARKETING AND NON-GAMING FRAUD

- **Review of actual casino fraud incidents**
- **War stories and fraud forensics**
- **Casino fraud trends and categories of fraud**
- **Proven fraud finding techniques /Behavioral 'red flags'**
- **Fraud Control Checklist and Fraud Prevention Checklist**

PREMIUM GAMING SERVICES
REGISTRATION INFORMATION & PRICING

	RESERVE/PAY	1 DAY	2 DAY
PRICING FOR SEMINAR:*	ON/BEFORE 9-10-11	$295	$575
	AFTER 9-10-11	$345	$625

* WE OFFER GROUP DISCOUNTS (> 5 ATTENDEES). EMAIL/CALL US FOR A QUOTE.

SEMINAR DATES (BOTH TO BE HELD AT THE HARD ROCK HOTEL & CASINO IN TULSA, OK (SELECT ONE):

	DAY 1 10-10-11	DAY 2 10-11-11
# OF ATTENDEES:	_____	_____

COMPANY/CASINO NAME: _____

MAILING ADDRESS: _____

PHONE: _____ FAX: _____ EMAIL: _____

INDIVIDUAL ATTENDEE INFORMATION: (FOR CERTIFICATE OF ATTENDANCE)

Attendee Name	Job Title	Attendee Email	*Direct* Phone

1 - DAY SEMINAR FEE: #ATTENDEES___ X $295 OR $345 = _____
2 - DAY SEMINAR FEE: #ATTENDEES___ X $575 OR $625 = _____

Email or Fax Registration to Lori@PremiumGamingServices.com or 866.261.0064

Mail Check to : Premium Gaming Services @ P.O. Box 17035, Reno, NV 89511

FOR INQUIRIES submit an email to Lori@PremiumGamingServices.com or call our office @ 775.851.1836 or Lori Doyle @ 775.721.3570 (cell).